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BERESKIN & PARR

Title: PRESSURIZED CHAMBER SEAL CARTRIDGE

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FIELD OF THE INVENTION

This invention relates generally to seals for rotary shafts, and to methods of sealing rotary shafts.

5 **BACKGROUND OF THE INVENTION**

The invention has been devised primarily in the context of (but is not limited to) seals for centrifugal pumps. A typical centrifugal pump includes an impeller that rotates in a pump chamber to draw liquid into the chamber through a central inlet and direct the liquid radially outwardly by centrifugal force to an outlet at the periphery of the chamber. The impeller is mounted at one end of a rotary drive shaft that extends outwardly through a housing that defines the pump chamber. Externally of the chamber, the shaft is supported in bearings and is coupled to a suitable drive motor. A seal is provided where the shaft extends through the housing defining the pump chamber.

Conventionally, two alternative types of seal have been employed, namely a traditional so-called pump "packing" or a composite mechanical seal. A traditional pump packing includes a housing into which packing rings are inserted and then compressed by means of a packing gland so that the rings seat snugly against the shaft. A mechanical seal involves a complex assembly of seal components that co-operate with one another to provide the required sealing effect. This type of seal is quite expensive both in terms of capital cost and initial set-up time and complexity.

25 Seals deteriorate rapidly in pumps that are used in harsh environments such as for pumping acid in paper mills. The seals must be replaced at frequent intervals, and the pump must be shut down each time. This is costly not only in terms of direct maintenance cost, but also in terms of pump down time.

30 **DESCRIPTION OF THE PRIOR ART**

The prior art includes the following literature references:

1. "Seals and Sealing Handbook" by M. Brown, 4th Edition, 1995, ISBN # 1 85617 232 5.
2. "Mechanical Seals and Sealing Systems Handbook", volume 3 of "Pump Handbook" published in 1999 by AES Marketing Inc. (Flach).

5 Brown discloses the use of O-rings as rotary seals but suggests that O-rings should not be used at surface speeds greater than about 200 ft/min. Flach discloses double seals or tandem seals and the use of pressurized barrier fluid.

SUMMARY OF THE INVENTION

10 An object of the present invention is to provide a seal for a rotary shaft that offers a number of advantages compared with the prior art.

 The seal provided by the invention includes a housing for receiving the shaft, the housing having a generally cylindrical internal surface and first and second internal annular grooves that are spaced
15 longitudinally of and encircle the internal surface of the housing. Each groove receives an O-ring for contact with the external surface of the shaft and against which the shaft rotates in use. The housing has fluid inlet and outlet ports which extend through the housing and into the generally cylindrical internal surface of the housing at locations spaced angularly
20 about the housing and between the O-rings. When a shaft is received in the housing, an annular chamber is defined between the shaft and the housing and between the O-rings and a fluid can be circulated under pressure through the chamber via the ports. The O-rings are deformable under the pressure of the fluid to seal against the shaft.

25 The fluid that is circulated through the chamber (typically water) has a cooling and lubricating effect on the seal as a whole and in particular on the O-rings. It is believed that this effect protects the O-rings against failure due to abrasion by the shaft. At the same time, the fact that the O-rings deform ensures a good seal.

30 The housing and O-rings together form a cartridge. When the cartridge is installed on a shaft and charged with liquid, it becomes a

"Pressurized Chamber Seal Cartridge".

The invention also provides a method of sealing a rotary shaft which comprises the steps of: providing a seal that has a housing with a generally cylindrical internal surface for receiving the shaft, the shaft and the
5 generally cylindrical internal surface of the housing defining an annular chamber between respective deformable O-rings that are spaced longitudinally of and encircle the housing and are received in corresponding internal grooves in the housing; and circulating through said chamber a fluid under pressure to cause the O-rings to deform to seal against the rotary
10 shaft.

Preferably, the cylindrical surface of the housing includes a radially enlarged surface portion between the O-rings, so that the chamber has a greater radial extent than the radial space between the shaft and the housing outwardly of the O-rings.

15 **BRIEF DESCRIPTION OF THE DRAWINGS**

In order that the invention may be more clearly understood, reference will now be made to the accompanying drawings which illustrate a particular preferred embodiment of the invention by way of example, and in which:

20 Fig. 1 is a longitudinal sectional view through part of a centrifugal pump, including the impeller drive shaft of the pump, and showing a seal in accordance with the invention on the drive shaft;

Fig. 2 is an exploded perspective view of the seal shown in Fig. 1;

25 Fig. 3 is an enlarged view of the seal as shown in Fig. 1; and,

Fig. 4 comprises detail views denoted (a) and (b) showing one of the O-rings of the seal respectively before and after the O-ring is subjected to the effect of pressurized fluid in use.

DESCRIPTION OF PREFERRED EMBODIMENT

30 Referring first to Fig. 1, a conventional centrifugal pump is generally indicated by reference numeral 20 and includes a impeller 22 that is supported in a housing 24 for rotation about a longitudinal axis A-A. The

impeller 22 is designed to draw liquid centrally into the housing 24 and expel the liquid generally radially with respect to axis A-A in accordance with known centrifugal pump design.

5 Impeller 22 is carried at one end of a drive shaft 26 which extends outwardly through housing 24 to the right in Fig. 1, where it is supported in bearings and coupled to a drive motor for rotating the shaft about axis A-A. Since the pump itself is entirely conventional, specific details of the pump, drive shaft bearings and drive motor have not been shown.

10 The invention provides a seal cartridge that is mounted on the drive shaft 26 to seal against leakage of the liquid being pumped along the drive shaft from housing 24. The seal cartridge is generally denoted 28 in Fig. 1. It can be seen that drive shaft 26 includes axial portions denoted 26a and 26b that are of progressively reducing diameter in a direction towards
15 impeller 22. Again, this is in accordance with conventional centrifugal pump design criteria. Seal cartridge 28 co-operates with the intermediate portion 26a of drive shaft 26. In fact, the seal cartridge is received in a cylindrical extension 24a of the pump casing 24 that extends outwardly of the casing in a direction away from impeller 22 and that traditionally is known as a
20 "stuffing box". Again, this is in accordance with conventional pump design.

Seal cartridge 28 is shown in more detail in Fig. 3 in association with the portion 26a of shaft 26. The cartridge includes a housing 30 that has a generally cylindrical internal surface 32 which receives the shaft 26. Surface 32 includes first and second internal annular
25 grooves 34, 36 that are spaced longitudinally of and encircle the housing adjacent respectively opposite ends thereof. Each groove receives an O-ring 38 that seals against the drive shaft. In this particular embodiment, a sleeve 40 is pressed or shrunk onto the portion 26a of drive shaft 26 so as to rotate with the drive shaft and in effect provide a protective surface on the
30 drive shaft against which the O-rings 38 can seal. In other words, sleeve 40 provides a sacrificial, replaceable surface on the drive shaft so that the drive shaft is preserved even if the seal should fail and cause damage to the

external surface of sleeve 40.

Between the two grooves 34 and 36, the internal surface 32 of housing 30 is radially enlarged as indicated at 32a to in effect provide an enlarged chamber 42 between the seal housing 30 and the external surface of sleeve 40. The clearance between housing 30 and sleeve 40 may be about .060" (60 thousandths of an inch) at the ends of the housing and about .125" (125 thousandths of an inch) in chamber 42. Fluid inlet and outlet ports 44 and 46 respectively extend through housing 30 and open into the generally cylindrical internal surface 32 of housing 30, where they communicate with chamber 42. The inlet and outlet ports 44 and 46 are spaced angularly about housing 30 (in this case diametrically spaced) and are located between the O-rings 38 so that a fluid can be circulated under pressure through the chamber 42.

The O-rings 38 are designed to be deformable under the pressure of the fluid in chamber 42 so as to firmly seal against sleeve 40. Fig. 4 illustrates how the O-rings deform under pressure. As seen in Fig. 4(a) the O-ring 38 is in its normal circular section configuration. In Fig. 4(b) on the other hand, pressurized fluid is present in chamber 42 and the O-ring 38 is shown as having deformed as indicated at 38a under the effect of that pressure to seal firmly against sleeve 40.

The materials, dimensions and other parameters given below relate to a practical example of a seal cartridge for centrifugal pumps such as might be used in a paper mill, and may vary depending on the particular application in which the seal is used.

In this example, the O-rings are made of a fluorocarbon rubber based compound having a working temperature range of 20°F to 400°F.

It is self-evident that the O-rings must be properly sized to assure proper contact and maintain proper sealing with the shaft. Preferably, the overall internal diameter of the O-ring is slightly undersize with respect to the diameter of the shaft (sleeve 40). Typically, the O-ring may be .005 inches (5 thousandths of an inch) undersize. The diameter of the cross-section of the O-ring may also vary according to shaft size. Typical

examples may be as follows:

	<u>Shaft Size</u>	<u>O-Ring Diameter (Cross-Section)</u>
5	1.250"	1/8"
	1.500"	1/8"
	1.750"	1/8"
	2.000"	1/8"
	2.500"	1/8"
	2.750"	1/8"
	3.000"	3/16"
10	<u>Shaft Size</u>	<u>O-Ring Diameter (Cross-Section)</u>
	3.500"	3/16"
	4.000"	3/16"
	4.500"	3/16"
	5.000" and up	1/4"

15 The cooling fluid circulated through chamber 42 is water piped
from a regular supply line. Fig. 1 shows a water inlet pipe 48 that
communicates with the inlet ports 44 and an equivalent passageway 50 in
pump housing 24a that communicates with outlet port 46. Inlet pipe 48
communicates with the water supply line (not shown). Outlet pipe 50 is
20 fitted with a throttle valve 52 that can be adjusted to vary the pressure and
flow rate of the water through chamber 42.

In this example, the water line pressure in the mill is about 125
psi, the pressure in chamber 42 is in the range 20 - 30 psi and the flow rate
5 - 10 gallons (imperial) per minute. Appropriate gauges may be provided
25 to monitor the pressure and flow rate. However, it has been found in
practical tests that the throttle valve can simply be closed to an extent to
provide what appears to the operator to be a reasonable flow of water from
the throttle valve. If leakage is noted through the seal cartridge, the operator
can increase the pressure in chamber 42 by progressively closing the
30 throttle valve until leakage is reduced to an acceptable level, typically zero.

In some situations, it may be desirable to provide an additional

throttle valve (not shown) on inlet pipe 48 so that the flow of water entering chamber 42 can be restricted at the outset and only increased progressively if leakage is detected.

While not wishing to be bound by theory, it is believed that the
5 act of circulating water under pressure through chamber 42 serves to both deform the O-rings to ensure good sealing against sleeve 40, and to cool and lubricate the sealing surfaces to prevent abrasion degradation of the O-rings.

It may be that cooling liquids other than water could be used to
10 advantage, for example, in other environments or that gases might be suitable. The particular pressures and flow rates used may also vary depending on the environment.

Constructional details for the seal cartridge itself and its
mounting in the pump housing can of course vary. Referring to Figs. 1 and
15 3, it will be seen that the seal housing 30 includes an external flange 30a that abuts an end face of the pump casing extension 24a with the intermediary of a gasket 53. A conventional pump packing gland 54 is reversed compared with its normal orientation in a packing application and used to hold the cartridge in place via bolts 56 (see Fig. 2) that are threaded
20 into the pump casing 24.

Radially inwardly of flange 30a (see Fig. 1) is a conventional lip
seal 58 that also runs in contact with sleeve 40. At the inner end of housing
30 opposite flange 30a is a plain annular surface that abuts against a
further gasket 60 that in turn seats against a throat bushing 62 in the pump
25 casing 24. Bolts 56 are tightened sufficiently to compress gaskets 53 and 60.

In practical tests, the seal cartridge provided by the invention
has been found to operate with great reliability for extended periods of time
even in harsh environments. For example, the seal has operated
30 satisfactorily (no visible degradation) for one thousand hours at 3,600 rpm on an acid pump in a paper mill. If and when replacement is required, it is simply necessary to remove the impeller 22 from the drive shaft, withdraw

the drive shaft, remove gland 54 and then withdraw the cartridge housing 30 from the pump casing 24. Normally, all that is required is to replace the O-rings 38 and then re-assemble the pump in the reverse fashion. Replacement cost has been estimated at approximately 70% of the cost of replacing a typical mechanical seal. Initial set-up has been estimated at about 35% of the cost to set up a conventional mechanical seal.

Referring to the range of shaft sizes given previously (1.25 inches to 5.00 inches) and the rotational speed of 3,600 rpm, the following linear speeds can be calculated for the surface of the shaft:

<u>Shaft Size (inches)</u>	<u>πd (inches)</u>	<u>Linear Speed @ 3600 rpm (ft/min)</u>
1.25	3.93	1,179
↓	↓	↓
5.00	15.71	4,713

It will of course be appreciated that the preceding description relates to particular preferred embodiment of the invention and that many modifications are possible, some of which are indicated herein and others of which will be apparent to a person skilled in the art. In particular, it should be noted that, while the invention has been devised in the context of centrifugal pumps, the seal provided by the invention has wide application to sealing of rotating shafts in many other environments.